## **ANNEXURE I**

## Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - D. P. Abhushan Limited - 31-Mar-2021

2. Quarter ending

i.

**Composition Of Board Of Director** 

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Company Remarks	
Whether Regular	Yes
chairperson appointed	
Whether Chairperson is	Yes
related to MD or CEO	

# ii. Composition of Committees

## a. Audit Committee

Sr. No.	Name of Committee members	Category	Chairperson/Member	Date of Appointment	Date of Cessation
1	VIKAS KATARIA	C & ED	Member	19-Jul-2017	

2	MUKESH KUMAR JAIN	ID	Member	19-Jul-2017
3	SANSKAR KOTHARI	ID	Chairperson	16-Jul-2018
4	DEEPAK GADIA	ID	Member	08-Aug-2020

Comp	any Remarks				
Whether Regular		es			
	person appointed				
b. 3	Stakeholders Relationship	Committee			
Sr.	Name of Committee	Category	Chairperson/Member	Date of	Date of Cessation
No.	members			Appointment	
1	VIKAS KATARIA	C & ED	Member	19-Jul-2017	
2	MUKESH KUMAR JAIN	ID	Member	19-Jul-2017	
3	SANSKAR KOTHARI	ID	Chairperson	16-Jul-2018	
4	DEEPAK GADIA	ID	Member	08-Aug-2020	

Company Remarks	
Whether Regular	Yes
chairperson appointed	

#### c. Risk Management Committee

• •	tion management eenimme				
Sr.	Name of Committee	Category	Chairperson/Member	Date of	Date of Cessation
No.	members			Appointment	

Company Remarks	
Whether Regular	
chairperson appointed	

## d. Nomination and Remuneration Committee

Sr.	Name of Committee	Category	Chairperson/Member	Date of	Date of Cessation
No.	members			Appointment	
1	MUKESH KUMAR JAIN	ID	Chairperson	19-Jul-2017	
2	SANSKAR KOTHARI	ID	Member	16-Jul-2018	
3	RENU KATARIA	NED	Member	19-Jul-2017	
4	DEEPAK GADIA	ID	Member	08-Aug-2020	

Company Remarks	
Whether Regular	Yes
chairperson appointed	

# iii. Meeting of Board of Directors

Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present (All directors including Independent Director)	No. of Independent Directors attending the meeting
18-Jan-2021	Yes		6	3
30-Jan-2021	Yes		6	3
11-Feb-2021	Yes		6	3
16-Feb-2021	Yes		6	3
27-Mar-2021	Yes		6	3

Company Remarks	
Maximum gap between any	54
two consecutive (in number of	
days)	

## iv. Meeting of Committees

Name of the Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committe e as on date of the meeting	Number of Directors present (All directors including Independent Director)	Number of Independen t directors attending the meeting	Number of Members attending the Meeting (Other than Board of Directors)
Audit	11-Feb-2021	Yes		4	3	
Committee						
Audit	27-Mar-2021	Yes		4	3	
Committee						
Stakeholders	11-Feb-2021	Yes		4	3	
Relationship						
Committee						
Nomination	11-Feb-2021	Yes		4	3	
&						
Remuneratio						
n Committee						

Company Remarks	
Maximum gap between any	97
two consecutive (in number of	
days) [Only for Audit	
Committee]	

## v. Related Party Transactions

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Disclosure of notes on related	
party transactions and	
Disclosure of notes of material	
related party transactions	

## VI. Affirmations

- 1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. Yes
- 2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
  - a. Audit Committee Yes
  - b. Nomination & remuneration committee Yes
  - c. Stakeholders relationship committee Yes
  - d. Risk management committee (applicable to the top 1000 listed entities) Not applicable
- 3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. Yes
- The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.-Yes
- 5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Yes

b. Any comments/observations/advice of Board of Directors may be mentioned here:

Name:Versha GangDesignation:Company Secretary & Compliance Officer

## **ANNEXURE II**

# Format to be submitted by listed entity at the end of the financial year (for the whole of financial year)

I. Disclosure on website in terms of Listin			S			
Item		mplianc tatus	Company Remark		W	Vebsite
As per regulation 46(2) of the LODR:						
Details of business	Yes				htt	ps://www.dpjeweller
Terms and conditions of appointment of	Yes					ps://www.dpjeweller
Composition of various committees of	Yes					ps://www.dpjewellers
Code of conduct of board of directors and	Yes					ps://www.dpjeweller
Details of establishment of vigil mechanism/	'Yes					ps://www.dpjeweller
Criteria of making payments to non-	Yes					ps://www.dpjeweller
Policy on dealing with related party	Yes					ps://www.dpjeweller
Policy for determining 'material' subsidiaries						ps://www.dpjeweller
Details of familiarization programs imparted						ps://www.dpjeweller
	Yes					ps://www.dpjewellers
other relevant details entity who are						m/la_
Contact information of the designated	Yes					ps://www.dpjewellers
Financial results	Yes					ps://www.dpjeweller
Shareholding pattern	Yes					ps://www.dpjeweller
•	Not					
media companies and/or their associates		icable				
	Yes				htt	ps://www.dpjeweller
meet and presentations madeby the listed						m/
New name and the old name of the listed	Not					m/
Advertisements as per regulation 47 (1)	Not					
Credit rating or revision in credit rating	Yes				htt	ps://www.dpjeweller
Separate audited financial statements of	Not					
As per other regulations of the LODR:						
Whether company has provided information					htt	ps://www.dpjeweller
under separate section on its website as	Yes				.com/	
per Regulation 46(2)					.co	m/
Materiality Policy as per Regulation 30	Yes				htt	ps://www.dpjeweller
Dividend Distribution policy as per	Not					
It is certified that these contents on the	Yes				Yes	it is certified that
II Annual Affirmations						
Particulars		Regulati	on	Compl		Company
		Number		iance		Remark
		( ) ( ) ( )	0.0.5(0)	status		
Independent director(s) have been		16(1)(b)	& 25(6)	Vac		
appointed in terms of specified criteria of				Yes		
'independence' and/or 'eligibility'		17(1) :=	(( ) ) )			
Board composition		17(1), 17 17(1B)	(1A) &	Yes		
Meeting of Board of directors		17(2)		Yes		
Quorum of Board meeting		17(2A)		Yes		
Review of Compliance Reports		17(3)		Yes		
Plans for orderly succession for		17(4)		Yes		
appointments		. /				
Code of Conduct		17(5)		Yes		

Fees/compensation	17(6)	Yes	
Minimum Information	17(7)		
Compliance Certificate	17(8)	Yes	
Risk Assessment & Management	17(9)	Yes	
Performance Evaluation of Independent	17(10)	Yes	
Directors	17(10)	Yes	
Recommendation of Board	17(11)		
	17(11) 17A	Yes	
Maximum number of directorship		Yes	
Composition of Audit Committee	18(1)	Yes	
Meeting of Audit Committee	18(2)	Yes	
Composition of nomination & remuneration committee	19(1) & (2)	Yes	
Quorum of Nomination and Remuneration		Yes	
Committee meeting	19(2A)		
Meeting of nomination & remuneration		Yes	
committee	19(3A)		
Composition of Stakeholder Relationship	20(1), 20(2) and	Yes	
Committee	20(2A)		
Meeting of stakeholder relationship		Yes	
committee	20(3A)		
Composition and role of risk management	21(1),(2),(3),(4)	Not Applicable	
committee			
Meeting of Risk Management Committee	21(3A)	Yes	
Vigil Mechanism	22	Not Applicable	
Policy for related party Transaction	23(1),(1A),(5),(6	Yes	
Prior or Omnibus approval of Audit	23(2), (3)	Yes	
Committee for all related party			
Approval for material related party	23(4)	Yes	
transactions			
Disclosure of related party transactions on	23(9)	Not Applicable	
consolidated basis			
Composition of Board of Directors of	24(1)	Yes	
unlisted material Subsidiary			
Other Corporate Governance	24(2),(3),(4),(5)	Not Applicable	
requirements with respect to subsidiary of	& (6)		
Annual Secretarial Compliance Report		Not Applicable	
	24(A)		
Alternate Director to Independent Director	25(1)	Not Applicable	
Maximum Tenure		Yes	
	25(2)	163	
Meeting of independent directors	25(3) & (4)	Not Applicable	
Familiarization of independent directors	25(7)	Yes	
Declaration from Independent Director	25(8) & (9)	Yes	
D & O Insurance for Independent	25(10)	Yes	
Memberships in Committees	26(1)	Yes	
Affirmation with compliance to code of	26(3)		
conduct from members of Board of	20(3)		
Directors and Senior management		Yes	
personnel			
Disclosure of Shareholding by Non-	26(4)	No.	
Executive Directors	20(4)	Yes	

Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	
Other Information			

## III Affirmations:

The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied. **- Yes** 

Other Information	The Company has approved Material Subsidiary Policy, however, it does
	not have any Material Subsidiary.

Name	:	Versha Gang
Designation	:	Company Secretary

	ANNEXURE IV						
DPABHUSHAN D. P. Abhushan Lim			ited		31-Mar-2021		
	(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to						
Aggregate ar	mount advand	ed during si	x months	Balan	ce outstand	ling at the end o	of six months
Promoter or any other entity controlled by them	Promoter Group or any other entity controlled by them	Directors (including relatives) or any other entity controlled by them	KMPs or any other entity controlled by them	Promot er or any other entity controll ed by them	Promoter Group or any other entity controlled by them	Directors (including relatives) or any other entity controlled by them	KMPs or any other entity controlled by them

(B) Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months (taking into account any invocation)
Promoter or any other entity controlled by them			
Promoter Group or any other entity controlled by them			
Directors (including relatives) or any other entity controlled by them			
KMPs or any other entity controlled by them			

(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type of Security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them			
Promoter Group or any other entity controlled by them			
Directors (including relatives) or any other entity controlled by them			
KMPs or any other entity controlled by them	tity would like to provide any ot		

(D) If the Listed Entity would like to provide any other information the same may be indicated here

#### Affirmations

All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company

Company Remarks in	
case of non-	
compliant status	

Name: Designation: anx4Designation Place: Date: